

Shropshire Council
Legal and Democratic Services
Shirehall
Abbey Foregate
Shrewsbury
SY2 6ND

Date: 15 February 2019
My Ref:
Your Ref:

Committee:
Audit Committee

Date: Monday, 25 February 2019
Time: 1.30 pm
Venue: Shrewsbury Room, Shirehall, Abbey Foregate, Shrewsbury,
Shropshire, SY2 6ND

You are requested to attend the above meeting.
The Agenda is attached

Claire Porter
Director of Legal and Democratic Services (Monitoring Officer)

Members of Audit Committee

Peter Adams (Chairman)
Ioan Jones
Chris Mellings

Brian Williams (Vice Chairman)
Michael Wood

Your Committee Officer is:

Michelle Dulson Committee Officer
Tel: 01743 257719
Email: michelle.dulson@shropshire.gov.uk

AGENDA

1 Apologies for Absence / Notification of Substitutes

2 Disclosable Pecuniary Interests

Members are reminded that they must not participate in the discussion or voting on any matter in which they have a Disclosable Pecuniary Interest and should leave the room prior to the commencement of the debate.

3 Minutes of the previous meeting held on the 6 December 2018 (Pages 1 - 10)

The Minutes of the meeting held on the 6 December 2018 are attached for confirmation.

Contact Michelle Dulson (01743) 257719

4 Public Questions

To receive any questions from the public, notice of which has been given in accordance with Procedure Rule 14.

5 First Line Assurance: Digital Transformation Programme update (Pages 11 - 14)

The report of the Director of Workforce and Transformation is attached.

Contact: Michele Leith 01743 254402

6 Second line assurance: Treasury Strategy (Pages 15 - 66)

The report of the Director of Finance, Governance and Assurance (Section 151 Officer) is attached.

Contact: James Walton (01743) 258915

7 Second line assurance: Strategic Risks Update (Pages 67 - 70)

The report of the Risk and Insurance Manager is attached.

Contact: Angela Beechey (01743) 252073

8 Governance Assurance: Draft Audit Committee annual work plan and future training requirements (Pages 71 - 98)

The report of the Head of Audit is attached.

Contact: Ceri Pilawski (01743) 257739

9 Third line assurance: Report of the Audit Review of Risk Management
(Pages 99 - 102)

The report of the Principal Auditor is attached.
Contact: Peter Chadderton (01743) 257737

10 Third line assurance: Internal Audit performance report and revised Annual Audit Plan 2018/19 (Pages 103 - 120)

The report of the Head of Audit is attached.
Contact: Ceri Pilawski (01743) 257739

11 Third Line Assurance: Draft Annual Internal Audit risk based plan 2018/19
(Pages 121 - 130)

The report of the Head of Audit is attached.
Contact: Ceri Pilawski (01743) 257739

12 Third line assurance: External Audit, Audit progress report and sector update (Pages 131 - 146)

The report of the Engagement Lead is attached.
Contact: Richard Percival (0121) 232 5434

13 Third line assurance: External Audit, Audit plan (Pages 147 - 164)

The report of the Engagement Lead is attached.
Contact: Richard Percival (0121) 232 5434

14 Third line assurance: External Audit, Follow up of Recommendations
(Pages 165 - 168)

The report of the Engagement Lead is attached.
Contact: Richard Percival (0121) 232 5434

15 Third line assurance: External Audit, Informing the risk assessment (Pages 169 - 194)

The report of the Engagement Lead is attached.
Contact: Richard Percival (0121) 232 5434

16 Date and Time of Next Meeting

The next meeting of the Audit Committee will be held on the 27 June 2019 at 1.30 pm.

17 Exclusion of Press and Public

To RESOLVE that in accordance with the provision of Schedule 12A of the Local Government Act 1972, Section 5 of the Local Authorities (Executive Arrangements)(Meetings and Access to Information)(England) Regulations and Paragraphs 2, 3 and 7 of the Council's Access to Information Rules, the public and press be excluded during consideration of the following items.

18 Exempt Minutes of the previous meeting held on the 6 December 2018
(Pages 195 - 196)

The Exempt Minutes of the meeting held on 6 December 2018 are attached for confirmation.

Contact: Michelle Dulson (01743) 257719

19 Third Line Assurance: Fraud and Special Investigation Update (Exempted by Categories 1, 2, 3, 5 and 7) (Pages 197 - 202)

The exempt report of the Principal Auditor is attached.

Contact: Peter Chadderton (01743) 257727